

IRA Transfer Form

(If this is for a new IRA, an IRA Packet must accompany this form.)

Regular Mail:

Carillon Family of Funds
c/o U.S. Bank Global Fund Services
PO Box 701
Milwaukee, WI 53201-0701

Overnight Mail:

Carillon Family of Funds
c/o U.S. Bank Global Fund Services
615 E. Michigan St., FL3
Milwaukee, WI 53202-5207

! There may be penalties for withdrawing certain investments before their maturity (i.e., certificates of deposit or annuities). Please contact your current custodian or plan administrator prior to submitting this form to determine the applicable time frames and penalties, if any, or if you need a signature guarantee in section 6 to order this transfer. U.S. Bank Global Fund Services will initiate your request upon receipt of this form.

1 Investor Information

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
FIRST NAME	M.I.	LAST NAME	SOCIAL SECURITY NUMBER
<input type="text"/>		<input type="text"/>	
ADDRESS		CITY / STATE / ZIP	
<input type="text"/>		<input type="text"/>	
DAYTIME PHONE NUMBER		EVENING PHONE NUMBER	

2 Instructions to Current IRA Custodian or Plan Administrator

Please include a copy of your current account statement.

<input type="text"/>	<input type="text"/>
CURRENT CUSTODIAN OR PLAN ADMINISTRATOR	FUND NAME, IF APPLICABLE
<input type="text"/>	<input type="text"/>
ACCOUNT NUMBER	CONTACT PERSON
<input type="text"/>	<input type="text"/>
CONTACT NUMBER	<input type="text"/>
<input type="text"/>	<input type="text"/>
STREET ADDRESS	CITY / STATE / ZIP

Consider this your authorization to redeem my investment and transfer my Traditional IRA, SEP IRA, Roth IRA, or Inherited IRA, or to directly rollover my qualified retirement plan as directed below:

☐ All Assets OR ☐ \$ or %

Please process this request:*

☐ Immediately OR ☐ At Maturity

(MONTH / DAY / YEAR)

* If no option is selected, please transfer all assets immediately.

Instructions for Delivery - indicate how you want your current Custodian/Trustee to deliver the assets to U.S. Bank Global Fund Services.

☐ **Wire** - Funds available immediately upon receipt, your Custodian/Trustee may charge a fee for this service.

☐ **Check** - Funds may not be available for 12-15 Business days.

☐ First Class Mail ☐ Overnight Delivery - Take the fee from my account

☐ Overnight Delivery via Third Party - Charge the fee to my FedEx or UPS account

☐ FedEx

☐ UPS

Account/Billing Number

Send the check representing the assets payable to "The Carillon Family of Funds FBO [Shareholder's Name]" along with a copy of this form to the address at the top of this page.

3 Processing Instructions and Fund Selection

Processing Instructions - indicate how you want us to initiate your transfer/rollover with your current custodian.

- ☐ **Standard Processing Service** - No charge, transfer form will be sent via First Class Mail.
- ☐ **Overnight Delivery** - \$15.00 fee, select one of the options below; if no selection is made we will use First Class Mail.
- We will overnight your transfer form to your current Custodian/Trustee.
 - Physical address must be provided on page one, cannot overnight to a PO Box.
- ☐ Use the attached check made payable to U.S. Bank Global Fund Services
- ☐ Charge the \$15.00 fee to my third party billing provided below
- ☐ FedEx ☐ UPS Account/Billing Number

Type of account being transferred/rolled-over:

- ☐ Pension ☐ Profit Sharing Plan ☐ 401(k) ☐ 403(b) ☐ Roth 401(k)
- ☐ Roth 403(b) ☐ Traditional IRA ☐ SEP IRA ☐ SIMPLE IRA ☐ Roth IRA
- ☐ Inherited IRA ☐ Other:

Original Roth IRA funding year (if applicable):

Original SIMPLE IRA funding date (if applicable):

Fund Selection

A Carillon Family of Funds IRA Packet must be completed to process this transfer if a new account is being established. The Fund(s) and the allocation(s) specified in the packet will be used if they are different from those indicated below.

FUND NAME	NEW	EXISTING	ACCOUNT # (IF APPLICABLE)	AMOUNT	OR	%
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>

4 Required Minimum Distribution (RMD) Age Information

Check one of the following:

- ☐ I am under the RMD age and do not turn RMD Age at anytime during this calendar year.

OR

- ☐ I am RMD age or older and understand that no part of my RMD is eligible for transfer or rollover. I further understand that there may be significant tax penalties if a rollover of my RMD occurs.

5 Conversion of Traditional IRA to Roth IRA - Optional

- ☐ I am converting assets from a Traditional IRA to a Roth IRA. Upon receiving the assets from my current Custodian, I instruct the Fund's transfer agent to invest the proceeds into a new or existing Roth IRA account, as indicated in Instructions to Current IRA Custodian or Plan Administrator section. I understand this may be a taxable event and that I am solely responsible for all tax consequences of this conversion.

The Fund's transfer agent will only process the conversion if you check the box above.

6 Signature and Certification Required by the Internal Revenue Service

I certify that I have established an IRA with the Carillon Family of Funds, of which U.S. Bank, N.A., is the Custodian. I agree to contact my present Custodian from whom I am transferring to determine if specific documentation or a signature guarantee is required. I understand that I am responsible for determining my eligibility for all transfers or direct rollovers. I agree to hold the Custodian harmless against any and all situations arising from an ineligible transfer or direct rollover. I acknowledge that the Custodian or its agent cannot provide legal advice and I agree to consult with my own tax professional for advice.

I authorize U.S. Bank Global Fund Services, to act on my behalf in contacting the current custodian or plan administrator to facilitate the transfer of assets.

X

SIGNATURE OF OWNER

DATE (MM/DD/YYYY)

SIGNATURE GUARANTEE* (FOR TRANSFERS FROM ANOTHER CUSTODIAN)

IMPORTANT: Please contact your current Custodian to determine if a signature guarantee is required.

If required, a signature guarantee or a signature validation may be obtained from an officer of a bank, savings association, credit union, a member firm of a domestic stock exchange, or the Financial Industry Regulatory Authority, that is an eligible guarantor institution. A notary public from a financial institution is able to provide an acceptable guarantee. The notary public's business card or a signed letter from the notary public on the financial institution's letterhead must accompany the form.

We suggest you contact your financial institution to verify the documentation required to obtain a signature guarantee or notary stamp for your specific situation.

7 Acceptance / Custodian Authorization

U.S. Bank, N.A., hereby accepts its appointment as Custodian of the above IRA and upon receipt of assets, will deposit such assets in a Carillon Family of Funds IRA on behalf of the Depositor authorizing this transfer or direct rollover.

U.S. BANK, N.A.



Gregory Farley
Senior Vice President-Mutual Fund Operations

For additional information please call toll-free 1-800-421-4184 or visit us on the web at www.carillontower.com.

Carillon Family of Funds - Fund List



Minimum Initial Investment

Class A*: \$100

Class C*: \$100

Class I: \$1,000

Class R6: \$1,000,000

* A reduced minimum of \$50 is offered for these classes when a monthly Automatic Investment Plan of \$50 is established.

FUND	TICKER	CLASS	FUND NUMBER
Carillon ClariVest Capital Appreciation Fund	HRCPX	Class A	3850
	HRCCX	Class C	3851
	HRCIX	Class I	3852
	HRCUX	Class R6	3855
Carillon ClariVest International Stock Fund	EISAX	Class A	3946
	EISDX	Class C	3947
	EISIX	Class I	3948
	EISVX	Class R6	3951
Carillon Eagle Growth & Income Fund	HRCVX	Class A	3868
	HIGCX	Class C	3869
	HIGJX	Class I	3870
	HIGUX	Class R6	3873
Carillon Eagle Mid Cap Growth Fund	HAGAX	Class A	3904
	HAGCX	Class C	3905
	HAGIX	Class I	3906
	HRAUX	Class R6	3909
Carillon Eagle Small Cap Growth Fund	HRSCX	Class A	3931
	HSCCX	Class C	3932
	HSIIX	Class I	3933
	HSRUX	Class R6	3936
Carillon Reams Core Bond Fund	CRCBX	Class A	4160
	CRCDX	Class C	4161
	SCCIX	Class I	4067
	CRCUX	Class R6	4164
Carillon Reams Core Plus Bond Fund	SCPDX	Class A	4165
	SCPEX	Class C	4166
	SCPZX	Class I	4069
	SCPWX	Class R6	4169
Carillon Reams Unconstrained Bond Fund	SUBDX	Class A	4170
	SUBEX	Class C	4171
	SUBFX	Class I	4071
	SUBTX	Class R6	4174
Carillon Scout Mid Cap Fund	CSMEX	Class A	4142
	CSMFX	Class C	4143
	UMBMX	Class I	4064
	CSMUX	Class R6	4146
Carillon Chartwell Small Cap Fund	CSSAX	Class A	4148
	CSSJX	Class C	4149
	UMBHX	Class I	4065
	CSSVX	Class R6	4152
Carillon Chartwell Mid Cap Value Fund	BERAX	Class A	5923
	BERBX	Class C	5924
	BERCX	Class I	5758
	BERDX	Class R6	5925
Carillon Chartwell Real Income Fund	BERGX	Class A	5929
	BERHX	Class C	5930
	BERIX	Class I	5759
	BERSX	Class R6	5931

Carillon Family of Funds - Fund List | continued

FUND	TICKER	CLASS	FUND NUMBER
Carillon Chartwell Short Duration High Yield Fund	CWFAX	Class A	5916
	CWFCX	Class C	5917
	CWFIX	Class I	5761
	CWFRX	Class R6	5918
Carillon Chartwell Small Cap Growth Fund	CWSAX	Class A	5926
	CWSBX	Class C	5927
	CWSGX	Class I	5762
	CWSRX	Class R6	5928
Carillon Chartwell Small Cap Value Fund	CWSCX	Class A	5920
	CWSHX	Class C	5921
	CWSIX	Class I	5763
	CWSWX	Class R6	5922